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7 UNITED STATES DISTRICT COURT
8 NORTHERN DISTRICT OF CALIFORNIA
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10 **STANDING ORDER**
11 **U.S. MAGISTRATE JUDGE WAYNE D. BRAZIL**
12

13 **Criminal Matters**
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15 1. Subject to published Notices of Unavailability,¹ **the master criminal calendar**
16 **for Oakland** venued cases is held Monday through Friday, beginning at **10:00 a.m.**, in
17 Courtroom 4, Third Floor, United States Courthouse, 1301 Clay Street, Oakland,
18 California.
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20 2. **Requests to add matters to or continue matters on the criminal calendar**
21 **must be directed to Magistrate Judge Brazil's Courtroom Deputy, Ivy Garcia, at**
22 **(510) 637-3639.**

23 The court will try to accommodate reasonable "last minute" requests to add
24 matters to the 10:00 a.m. criminal calendar. The court requests, however, that counsel,
25 the probation officer, or the pretrial services officer bring to court at least two extra
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27 ¹Notices of Unavailability are posted in the clerk's office and published in the Recorder, the Daily
28 Journal, and on the Court's internet site at <http://www.cand.uscourts.gov>.

1 copies of any pertinent documents so that the parties and the judge will have the
2 information needed for the proceeding.

3 The clerk's office requires **24 hours notice** for all matters requiring an
4 **interpreter**.

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6 3. Subject to published Notices of Unavailability, Magistrate Judge Brazil usually
7 is available Monday through Friday after the master criminal calendar -- typically,
8 about 11:15 a.m. -- **to review proposed criminal complaints or applications for**
9 **warrants**. Attorneys or agents who cannot present their papers at this time must call
10 Ms. Garcia, in advance, at (510) 637-3639, to determine when the Magistrate Judge
11 will be available to consider their requests.

12 13 14 Civil Matters

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16 4. **Civil matters may be placed on the Magistrate Judge's calendar only by his**
17 **administrative law clerk, who can be reached at (510) 637-3324**. Before noticing
18 any matter on the Magistrate Judge's calendar, parties must reserve a specific date and
19 time with the administrative law clerk. In most instances, civil law and motion matters
20 are heard on Wednesday afternoons. Unless otherwise ordered in a particular matter,
21 motion practice is governed by the Civil Local Rules, which are available from the
22 Clerk's Office and on the court's internet site at: <http://www.cand.uscourts.gov>.

23
24 5. Except as noted in paragraph 6, below, **discovery disputes** will be handled
25 according to the provisions of the Civil Local Rules. Specific questions about
26 situations not addressed by the Local Rules may be directed to the administrative law
27 clerk at (510) 637-3324.
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6. **If a dispute arises during a discovery event** the parties must attempt to resolve the matter without judicial intervention by conferring in good faith. If good faith negotiations between the parties fail to resolve the matter, and if disposition of the dispute during the discovery event likely would result in substantial savings of expense or time, counsel or a party may call the administrative law clerk at (510) 637-3324 to determine whether Magistrate Judge Brazil is available to address the problem through a telephone conference during the discovery event.

7. After the initial case management conference, parties usually **may elect to appear by phone** at hearings or conferences in civil matters that do not involve the taking of evidence or settlement negotiations. A party who wishes to appear by phone must secure permission in advance from Judge Brazil's administrative law clerk. If more than one party will appear by phone, the clerk will designate the party whose counsel must initiate the conference call, get all parties on the line, then call the court's **conference line at (510) 637-3326** at the time noticed for the appearance.

8. All filings related to civil motions referred to Magistrate Judge Brazil (i.e., motions in cases assigned for trial to another judge) must **set forth in the caption** or prominently at the beginning of the filing the **civil case number and the District Judge's initials followed by the designation "(WDB)"**.

9. When **motions** have been **referred** to Judge Brazil **by a District Judge who sits in San Francisco** the parties must file the original and a chambers copy of pertinent documents in the Clerk's Office in San Francisco but also **must deliver an additional copy directly to the Clerk's Office in Oakland for Judge Brazil**. See Civil Local Rule 5-1(b).

10. **In all "E-Filing" cases:** when filing papers in connection with any motion for determination by the judge, if the length of the motion (or opposition) and supporting

1 documents exceeds ten pages, the parties must, in addition to filing papers
2 electronically, lodge with chambers a printed copy of the papers by the close of the
3 next court day following the day the papers are filed electronically. These printed
4 copies must be marked "Chambers Copy" and must be submitted to the Clerk's Office,
5 in an envelope clearly marked with the judge's name, case number and "E-Filing
6 Chambers Copy." Parties shall not file a paper copy of any document with the Clerk's
7 Office that has already been filed electronically.

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9 11. Motions for **summary judgment** must be accompanied by a statement of the
10 material facts not in dispute, supported by citations to admissible evidence. The parties
11 must file a joint statement of undisputed facts where possible. If the parties are unable
12 to reach complete agreement after meeting and conferring, they must file a joint
13 statement of the undisputed facts about which they do agree. Any party may then file
14 a separate statement of the additional material facts that the party contends are not
15 subject to genuine dispute.

16
17 12. **Unless specifically requested, the court does not provide a court reporter for**
18 **most pre-trial proceedings.** The court records the proceedings on audiotape, a copy
19 of which may be obtained by submitting a request to the clerk's office, accompanied
20 by a check for \$26.00 payable to the District Court. Each such request must include
21 the title and number of the case, as well as the date and time of the proceeding for
22 which a copy of the tape is requested.

23 **A party who wishes to have a court reporter present for a pretrial**
24 **proceeding in a civil matter must notify Judge Brazil's administrative law clerk**
25 **(at 510-637-3324) at least two weeks before the date set for the proceeding.**

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27 13. Parties are reminded that most procedural questions are answered in the Federal
28 Rules of Civil Procedure, the Local Rules, or this Standing Order. Parties should not
contact Chambers for answers to procedural questions without first carefully examining

1 the **current** provisions of these authorities. Current versions of the Local Rules and
2 this Standing Order are published on the Court's internet site --
3 <http://www.cand.uscourts.gov>.

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5 **Settlement Conferences**

6 14. Scheduling:

- 7 A. Settlement conferences hosted by Judge Brazil usually are held on
8 Monday, Tuesday, or Thursday afternoons beginning at either 1:00 p.m.
9 or 2:00 p.m. Participants are to appear in Courtroom 4 on the third floor
10 of the United States Courthouse at 1301 Clay Street in Oakland, CA.
11 B. To schedule a settlement conference, or to ask to move a settlement
12 conference already scheduled, counsel are to contact Judge Brazil's
13 Administrative Law Clerk by phone at (510) 637-3324.

14
15 15. **Counsel Must Meet and Confer, In Person or by Phone, Before Preparing Their**
16 **Written Settlement Conference Statements.**

17 No fewer than ten court days before the settlement conference, counsel for the
18 anticipated participants must meet and confer (in person or voice to voice) to discuss
19 matters pertinent to improving the prospects that the settlement negotiations will be
20 productive. In these discussions counsel may address any subjects they feel are
21 appropriate – but they must discuss the following:

- 22 A. Who will attend the conference on behalf of each party, identifying the
23 lawyer and the client representative, as well as any other persons.
24 B. Which persons or entities must approve a proposed settlement agreement
25 before it can be executed; the nature and duration of that approval
26 process; the standards or criteria generally applied in it; and any
27 foreseeable barriers to approval or special concerns that the approving
28 authority might want addressed.

- 1 C. Whether insurance is available to cover all or part of the claimed losses
2 or to fund all or part of any party's defense; whether tenders have been
3 made to any insurance companies; whether any insurer will have a
4 representative at the settlement conference and, if so, the name of and
5 position held by each such representative.
- 6 D. Whether it would be useful for settlement demands and/or offers to be
7 made before the settlement conference is convened; and whether the
8 parties might want to consider "structured settlements" and, if so, whether
9 experts in structuring settlements should develop proposals in advance
10 and/or attend the conference.
- 11 E. Whether there are particular documents or other tangible things that
12 should be brought to the conference (e.g., to educate the settlement judge
13 or to support or explain significant contentions).
- 14 F. Whether one or more of the parties will ask that the negotiations include
15 any non-monetary items and/or trades or payments in kind; if so, what any
16 such non-monetary items would be (e.g., reinstatement of employment, a
17 job-reassignment or promotion, retirement status or benefits, other fringe
18 benefits, a letter of recommendation, an apology, a joint venture, a buy-
19 out, a licensing agreement, providing products at no cost or discounts, a
20 press release, etc.).
- 21 G. Any unusual issues or factors that could come into play in the settlement
22 negotiations or any especially sensitive matters that other counsel should
23 be alerted to before the settlement conference.

24
25 16. Confidential Written Settlement Conference Statements:

- 26 A. Unless otherwise ordered, **no fewer than seven (7) court days before the**
27 **settlement conference** each party must deliver to the office of the Clerk
28 of the Court in Oakland (4th Floor, 1301 Clay Street) a **Confidential**
Settlement Conference Statement that addresses all matters listed in

subparagraph C., below. **The caption must instruct the Clerk to lodge but not file the Statement.**

Failure to timely submit a settlement conference statement may result in sanctions.

B. Parties are **not required to serve** other parties with copies of their Confidential Settlement Conference Statement.

C. The **Confidential Settlement Conference Statement**, which may not exceed fifteen (15) pages of text and fifteen (15) pages of exhibits, **must include** the following:

- (I) a brief chronological statement of the facts of the case;
- (ii) a brief statement of the principal claims and defenses;
- (iii) a description of the major factual and legal issues that are in dispute;
- (iv) separately for each principal claim and defense, a forthright evaluation of the likelihood that the party submitting the Statement will prevail;
- (v) the bases for any damages calculations, and a description of any non-monetary relief sought or non-monetary components of settlement offers or demands;
- (vi) a summary of the proceedings to date and a description of any pending motions;
- (vii) an estimate of the expenses and fees that are likely to be incurred in completing discovery, pretrial, and trial;
- (viii) a history of past settlement negotiations (without revealing communications whose disclosure to a settlement judge is prohibited), a description of the principal obstacles (factual, legal, or other) to reaching agreement, and the reasons the parties' assessments of the case's settlement value differ;

- (ix) each component of each party's most recently communicated settlement demand or offer (describing specifically any non-monetary terms that were demanded or offered);
- (x) a settlement figure or terms that, given all the circumstances, is realistic and that the party submitting the Statement would consider seriously; and
- (xi) a brief discussion of any of the subjects identified in Section II of this Order that might be significant in the settlement dynamic.

17. Required Attendance at Settlement Conferences:

- A. Lead trial counsel must appear at the Settlement Conference with the parties and with the person or persons having full authority to negotiate and to settle the case.
- B. In all cases where an insurance company's agreement would be necessary to achieve a settlement, the carrier's claims representative, with **full authority** to negotiate up to the limits of coverage, also must attend the Settlement Conference.
- C. When a party's final authority to agree to terms of settlement is vested in a governing body, at least **seven (7) court days** before the conference counsel for that party must communicate in writing to Magistrate Judge Brazil and to counsel for other parties how (through whom) the governing body will appear. In addition, counsel must describe the procedure that would be followed in securing that body's consideration of proposed settlement terms.
- D. A person **seeking to be excused from appearing in person** at a settlement conference must deliver, **no fewer than seven (7) court days before the conference**, a letter to the Magistrate Judge, simultaneously delivering copies to all counsel. The letter must:

- (I) explain in detail why attendance in person would impose an extraordinary or otherwise unjustifiable hardship;
- (ii) explain why the fact that the person would not attend in person would have no adverse impact on the parties' and the court's ability to pursue and achieve the purposes of a settlement conference;
- (iii) state realistically the amount in controversy in the case;
- (iv) indicate whether the other parties oppose or do not oppose the request; and
- (v) be accompanied by a proposed order.

E. Within two (2) court days of receiving a copy of another person's request to be excused from attending a settlement conference in person, a party who opposes the request must deliver (by fax or otherwise) simultaneously to all other parties and to Magistrate Judge Brazil a writing that details the grounds for the objection. Judge Brazil's fax number is 510-637-3327.

F. **A party who is excused from appearing in person must be available to participate by telephone throughout the conference. *Failure to be available for participation by phone for the full duration of the conference may result in imposition of sanctions.***

18. Requests for Continuances:

- A. Any request to continue a settlement conference must be submitted in writing at least seven (7) court days in advance and only after consultation with all other parties. The request must indicate whether it is joined or opposed by the other parties.
- B. If the date to which a continuance is sought would be past a deadline for holding the settlement conference that was set by the judge to whom the case is assigned for trial, the party seeking the continuance must secure permission from that judge to hold the settlement conference during the

1 proposed new time frame before seeking the continuance from Magistrate
2 Judge Brazil. A writing evidencing the assigned judge's extension of the
3 deadline must accompany the party's request to Judge Brazil for the
4 continuance.
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6 19. Notification that Case Terminated Before Settlement Conference.

7 The parties must notify Judge Brazil's administrative law clerk immediately if
8 they settle their case or it is otherwise terminated before the date set for the settlement
9 conference.

10 IT IS SO ORDERED.

11 Dated: 4/10/03

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13 WAYNE D. BRAZIL
14 United States Magistrate Judge
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APPENDIX A - JOINT CASE MANAGEMENT STATEMENT AND PROPOSED ORDER

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

Plaintiff(s),	CASE NO.
v.	JOINT CASE MANAGEMENT STATEMENT AND PROPOSED ORDER
Defendant(s).	

The parties to the above-entitled action jointly submit this Case Management Statement and Proposed Order and request the Court to adopt it as its Case Management Order in this case.

DESCRIPTION OF THE CASE

1. A brief description of the events underlying the action:
2. The principal factual issues which the parties dispute:
3. The principal legal issues which the parties dispute:
4. The other factual issues [e.g. service of process, personal jurisdiction, subject matter jurisdiction or venue] which remain unresolved for the reason stated below and how the parties propose to resolve those issues:
5. The parties which have not been served and the reasons:
6. The additional parties which the below-specified parties intend to join and the intended time frame for such joinder:
7. The following parties consent to assignment of this case to a United States Magistrate Judge for [court or jury] trial:

ALTERNATIVE DISPUTE RESOLUTION

8. [Please indicate the appropriate response(s).]
- ☐ The case was automatically assigned to Nonbinding Arbitration at filing and will be ready for the hearing by (date)_____.
- ☐ The parties have filed a Stipulation and Proposed Order Selecting an ADR process (specify process):_____.
- ☐ The parties filed a Notice of Need for ADR Phone Conference and the phone conference was held on or is scheduled for _____.
- ☐ The parties have not filed a Stipulation and Proposed Order Selecting an ADR process and the ADR process that the parties jointly request [or a party separately requests] is _____.

9. Please indicate any other information regarding ADR process or deadline.

DISCLOSURES

10. The parties certify that they have made the following disclosures *[list disclosures of persons, documents, damage computations and insurance agreements]*:

DISCOVERY

11. The parties agree to the following discovery plan *[Describe the plan e.g., any limitation on the number, duration or subject matter for various kinds of discovery; discovery from experts; deadlines for completing discovery]*:

TRIAL SCHEDULE

12. The parties request a trial date as follows:

13. The parties expect that the trial will last for the following number of days:

Dated: _____

[Typed name and signature of counsel.]

Dated: _____

[Typed name and signature of counsel.]

CASE MANAGEMENT ORDER

The Case Management Statement and Proposed Order is hereby adopted by the Court as the Case Management Order for the case and the parties are ordered to comply with this Order. In addition the Court orders:

[The Court may wish to make additional orders, such as:

- a. Referral of the parties to court or private ADR process;*
- b. Schedule a further Case Management Conference;*
- c. Schedule the time and content of supplemental disclosures;*
- d. Specially set motions;*
- e. Impose limitations on disclosure or discovery;*
- f. Set time for disclosure of identity, background and opinions of experts;*
- g. Set deadlines for completing fact and expert discovery;*
- h. Set time for parties to meet and confer regarding pretrial submissions;*
- i. Set deadline for hearing motions directed to the merits of the case;*
- j. Set deadline for submission of pretrial material;*
- k. Set date and time for pretrial conference;*
- l. Set a date and time for trial.]*

Dated: _____

UNITED STATES DISTRICT/MAGISTRATE JUDGE